Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY **PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. PHQ 92,003C

First Inventor

BJORN BLUTHGEN ET AL

INFORMATION TRANSFER SYSTEM, A TRANSMITTER, A RECEIVER AND A RECORD CARRIER FOR USE IN THE SYSTEM

Date

Title

OCTOBER 14, 2003

Express Mail Label No.

EV312 014305

| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Alexandria VA 22313 | | | | | | | |
|--|--|---------------------------------------|------------------------------------|--|---|---|---|------------------|------------------------|--|--|
| 1. [2. [| 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. See 37 CFR 1.27. 3. Specification [Total Pages] | | | | | Alexandria, VA 22313 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) | | | | | |
| | (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, | | | | a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statements verifying identity of above copies | | | | | | |
| | | or a computer pro Background of th | gram listing appendi | × | | | ACCOMPANYING APPLICATIONS PARTS | | | | |
| | Brief Summary of the Invention Brief Description of the Drawings (if filed) Detailed Description Claim(s) | | | | | 9. 🔲 10. 🔲 | | | | | |
| | - | Abstract of the Di | sclosure | | | 11. | English Tra | nslation Docu | ment (if applicable) | | |
| | 4. Drawing(s) (35 U.S.C.113) [Total Sheets 7] 5. Oath or Declaration [Total Pages 1] | | | | | 12. 🛚 | Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations | | | | |
| | a. 🔲 | Newly execute | ed (original or copy | • | ، لـــا | 13. 🛚 | - | Amendment | | | |
| | b. 🛚 | | ior application (37 | | | 14. 🛚 | Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | |
| | | | tion/divisional with | | ompleted) | 15. 🔲 | Certified Copy of Priority Document(s) | | | | |
| | i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | | | | | 16. 🗀 | (b)(2)(B)(i). Applicant must attach form PTO/SB/35 | | | | |
| 6. | 6. Application Data Sheet. See 37 CFR 1.76 | | | | | 17. 🗆 | or its equivalent. 17. Other: | | | | |
| | | | | | x, and suppl | y the requisit | e information | below and in a | preliminary amendment, | | |
| or | | | eet under 37 CFR 1 □ Divisional | | austion-in-na | 4 (CID) | | of prior applies | stion No. 10/273 058 | | |
| | ☑ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10/273,058 Prior application information: Examiner CHANH D. NGUYEN Group / Art Unit: 2675 | | | | | | | | | | |
| une | For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | | | |
| | | | | 17. CC | DRRESPO | NDENCE AL | DRESS | | | | |
| *24737* or Correspondence address belo | | | | | | rrespondence address below | | | | | |
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| - | City Briarcliff Manor State Country USA Telephone | | | 914 333-9643 | | 914 332-0615 | | | | | |
| 5 | Name (Print/Type) MICHAEL E. BELK | | | | No. (Attorne | Fax ey/Agent) | 33,357 | | | | |
| \perp | | | | | | | | Date | | | |
| U | Signature muhauk Beeh | | | | | | OCTOBER 14, 2003 | | | | |

listed item(s) Preliminory Amendmen

Oomplete if Known

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| • | for F | Y 20 | 02 |

CONCURRENTLY Filing Date First Named Inventor

Patent fees are subject to annual revision.

BJORN BLUTHGEN

TOTAL AMOUNT OF PAYMENT

770 00

Group / Art Unit

Examiner Name

Application Number

PHO 92 003C

| METHOD OF PAYMENT (check one) | | | | | Attorney Docket No. The S2,000 | | | | | |
|---|----------------------|---------------------------------------|---------------------------------------|--|----------------------------------|-------------|---|-------------------------------------|--|---|
| The Commissioner is bearby authorized to shares | | | | | FEE CALCULATION (continued) | | | | | |
| indicated fees and credit any over payments to: | | | | 3. ADDITIONAL FEES Large Small Entity Entity | | | | | | |
| Deposit Account 14-1270 | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | | |
| Number 14-12/0 | | | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 1 010 | |
| | | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| Deposit Account Philips Research | | | | 139 | 130 | 139 | 130 | Non-English specification | | |
| Mana 1 - | | | | | 147 | 2.520 | 147 | 2.520 | For filing a request for reexamination | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | | | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 2. 🗌 Paymen | t Enclosed: | | | | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| ☐ Check | ☐ Credit card | | ☐ Other | | 116 | 400 | 216 | 200 | Extension for reply within second month | - |
| | | Order | | | 117 | 920 | 217 | 460 | - | |
| 1. BASIC FIL | | ALCULATION | · · · · · · · · · · · · · · · · · · · | | 118 | 1,440 | 218 | 720 | Extension for reply within third month Extension for reply within fourth month | |
| Large Entity S | imall Entity | | | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| | ee Fee | Fee Description | | | 119 | 320 | 219 | 160 | Notice of Appeal | |
| *** | ode (\$) | | Fee Paid | | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 01 740 2 | 01 370 | Utility filing fee | 770 | | 121 | 280 | 221 | 140 | Request for oral hearing | |
| | 06 165 07 255 | Design filing fee Plant filing fee | | 7 | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| | 08 370 | Reissue filing fee | - | \dashv | 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 114 160 214 80 Provisional filling fee | | | | 141 | 1,280 | 241 | 640 | Petition to revive – unintentional | | |
| SUBTOTAL (1) (\$)770. | | | | | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| | | | | | 143 | 460 | 243 | 230 | Design issue fee | |
| | | | | | 144 | 620 | 244 | 310 | Plant issue fee | |
| . EXTRA CLAIM | FEES | | _ | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| | | | e from Fee low Paid | | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| tal Claims | -20 ** = | × _ | = 0 | \exists | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| dependent aims 0 | -3** = | | = 0 | \exists | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| pendent arge Entity | Small Entit | × _ | = 0 | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| Fee Fee | Fee Fee Code (\$) | Fee Description | on | | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 03 18 | 203 9 | Claims in exces | ss of 20 | | 179 | 740 | 279 | 270 | · · · · · · · · · · · · · · · · · · · | |
| 02 84 | 202 42 | Independent claims in excess of 3 | | | | | | 370 | Request for Continued Examination (RCE) | |
| 104 280 204 140 Multiple dependent claim, if not paid ** Reissue independent claims over | | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | | |
| original patent | | | | | | | | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | | | | | Other fee (specify) | | | | | |
| | s | UBTOTAL (2) | (\$) 0 | 7 | *Reduc | ed by Ba | sic Filin | Fee P | aid SUBTOTAL (3) | |
| | | L For Reissues, see | | _ لـ | | | | | (\$) 0 | |

SUBMITTED BY Complete (if applicable) Michael E. Belk 33,357 Name (Print/Type) Registration No. Attorney/Agent) (914) 333-9643 Telephone Signature OCTOBER 14, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

BJORN BLUTHGEN ET. AL.

PHQ 92,003C

Serial No.

Group Art Unit: 2675

Filed: CONCURRENTLY

Examiner:

Title: INFORMATION TRANSFER SYSTEM, A TRANSMITTER, A RECEIVER AND

A RECORD CARRIER FOR USE IN THE SYSTEM

Commissioner for Patents Alexandria, VA 22313

AUTHORIZATION PURSUANT TO 37 CFR →1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

By Muhaus Bell Michael E. Belk, Reg. 33,357

Attorney

(914) 333-9643